

PATRICK M. CORRIGAN
Notre Dame Law School
University of Notre Dame
3164 Eck Hall of Law
Notre Dame, IN 46556
(617) 999-8374 | pcorrig3@nd.edu

POSITIONS

NOTRE DAME LAW SCHOOL

Professor of Law, July 2024 – present
Associate Professor of Law, July 2019 – June 2024
Fellow, Notre Dame Research Program on Law and Market Behavior
Fellow, Notre Dame Program on Private Law
Research Associate, University of Amsterdam Center for Law and Economics

HUMBOLDT UNIVERSITY, Berlin, Germany
Visiting professor, May 2025

**THE POLLACK CENTER FOR LAW & BUSINESS AT THE NEW YORK UNIVERSITY SCHOOL OF LAW
AND LEONARD N. STERN SCHOOL OF BUSINESS**
Leonard Wagner Fellow in Law & Business, 2017 – 2019

EDUCATION

NEW YORK UNIVERSITY SCHOOL OF LAW, New York, NY
J.D., 2015

HARVARD KENNEDY SCHOOL OF GOVERNMENT, Cambridge, MA
Master in Public Policy, 2011

UNIVERSITY OF NOTRE DAME, Notre Dame, IN
B.A. in Liberal Studies, 2007

COURSES TAUGHT

Business Associations
Securities Regulation
Transnational Securities Regulation (London Law Program)
Seminar on Venture Capital and Initial Public Offerings
Venture Capital and Institutions of Transnational Finance (one week study-abroad in London)
Law and Economics Workshop

PUBLICATIONS AND WORKS IN PROGRESS

Private Exit from Mandatory Securities Law: Intermediary Function in Modular Markets (work in progress)

Is Confidential Supervisory Information Material to Investors? Evaluating the Conflict between Banking and Securities Law (work in progress, with Peter Conti-Brown and Jeffery Zhang)

Secrecy without Law (forthcoming Vanderbilt Law Review, with Peter Conti-Brown and Jeffery Zhang)

The Bank and Private Capital Shadow Venture, 79 Stanford Law Review (forthcoming 2027)

The Corporate Governance Trilemma, 17 Journal of Legal Analysis 141-165 (2025).

Can the SEC Mandate Disclosures that Contain Both Financial and Social Information? The Case of Human Capital Management, 54 Southwestern Law Review 24-76 (2025).

Do the Securities Laws Actually Protect Investors (And How)? Lessons from SPACs, 101 Washington University Law Review 1123-1176 (2024).

- Selected for republications into 66 CORPORATE PRACTICE COMMENTATOR at 867 and into SECURITIES LAW REVIEW 2025 at § 2.1.

Shining a Light on Shadow Banks, 49 Journal of Corporation Law 1-47 (2023).

Does an IPO Issuer's Securities and Exchange Commission Registration Fee Calculation Method Predict Pricing Revisions and IPO Underpricing? 19 Journal of Empirical Legal Studies 1114-1147 (2022).

Footloose with Green Shoes? Can Underwriters Profit from IPO Underpricing? 38 Yale Journal on Regulation 908-975 (2021).

- Selected for reprint in the *Defense Law Journal*, 71(1), 1-77 (2022).
- Cited by the Securities and Exchange Commission in the January 24, 2024 release to final rule regulating certain transactions with special purpose acquisition companies.

The Seller's Curse and the Underwriter's Pricing Pivot: A Behavioral Theory of IPO Pricing, 13 Virginia Law & Business Review 335-413 (2019).

- Selected for republication into *Securities Law Review* 2020.
- Cited by the Securities and Exchange Commission a final order that approved a change to the New York Stock Exchange's rules that permits companies to sell primary securities in a direct listing and in a parallel order that applied to the Nasdaq's rules.

The Genesis of Independent Agencies, 92 New York University Law Review 637-697 (2017) (with Richard L. Revesz).

PUBLICATIONS AND WORKS IN PROGRESS (cont.)

A Behavioral Contract Theory Perspective on Retirement Savings, 47 Connecticut Law Review 1317-1366 (2015) (with Ryan Bubbs and Patrick L. Warren).

Note, *Abusive Acts and Practices: Dodd-Frank's Behaviorally Informed Authority over Consumer Credit Markets and Its Application to Teaser Rates*, 18 New York University Journal of Legislation and Public Policy 125-183 (2015).

- Cited in Consumer Financial Protection Bureau's Statement of Policy Regarding Abusive Acts or Practices.

CASEBOOK

SECURITIES REGULATION: CASES AND MATERIALS (Starting with Eleventh Edition, with James Cox, Donald Langevoort, & Ann Lipton).

BOOK CHAPTER

The Institutional Turn in Corporate Governance Towards Addressing Corporate Externalities and Public Goods, in *Law and Economics of Justice: Efficiency Reciprocity, Meritocracy* (Avishalom Tor & Mathis Klaus, eds., Springer, 2024).

CONFERENCES ORGANIZED

Law and Finance Conference: 2022 (London), 2023 (London), 2024 (Chicago), 2025 (Rome), and 2026 (London).

SCHOLARLY PRESENTATIONS

Private Exit from Mandatory Securities Law: Intermediary Function in Modular Markets
Notre Dame and University of Cape Town Private Law Conference, Rome, July 17, 2026.

Secrecy Without Law

Corporate and Securities Law Workshop, Tulane University, Mar 21, 2026

Notre Dame Law School Faculty Workshop, Feb. 17, 2026

Is Confidential Supervisory Information Material to Investors?

Notre Dame Law and Economics Workshop, Mar. 23, 2026

Canadian Law and Econ. Association Annual Meeting, University of Toronto, Oct. 3, 2025

NYU-SAFE/ESCP BS Law & Banking/Finance Conference, Paris ESCP Business School, June 17, 2025

Humboldt University of Berlin Capital Markets Seminar, May 23, 2025

Singapore Management University, Private Law Conference, August 3, 2025

SCHOLARLY PRESENTATIONS (cont.)*The Bank and Private Capital Shadow Venture*

Building Resilient Capital Markets in a Digital Era: A Legal and Regulatory Dialogue,
 Universidad Carlos III de Madrid, Mar. 13, 2026
 London Financial Regulation Seminar, Queen Mary University of London, Feb. 9, 2026
 Notre Dame and Uni. College London Law and Finance Conference, Rome, Oct. 24, 2025
 BYU Winter Deals Conference, Mar. 13, 2025
 Conference on Law and Macroeconomics, University of Michigan Law School, Jan. 7, 2025
 London Financial Regulation Seminar, Queen Mary, Feb. 9, 2026.
 International Law Association, Building Resilient Capital Markets in a Digital Era, Madrid,
 March 13, 2026.

The Corporate Governance Trilemma

University of North Carolina School of Law Corporate Governance Seminar, Jan. 22, 2025
 NYU-SAFE/ESCP BS Law & Banking/Finance Conference, New York University
 School of Law, June 6, 2024
 University of Amsterdam Center for Law and Economics, Dec. 12, 2023
 University College London and Notre Dame London Law and Finance Symposium,
 Sept. 23, 2024
 National Business Law Scholars Conference, University of Tennessee, June 17, 2023
 University of Michigan Law School Junior Scholars Conference, April 21-22, 2023
 10th Law and Economics Conference, University of Lucerne, March 17-18, 2023
 BYU Winter Deals Conference, March 9-10, 2023

Do the Securities Laws Actually Protect Investors (And How)? Lessons from SPACs.

Annual Meeting of the American Law and Economics Association, Boston University, June
 9-10, 2023
 NYU-SAFE/ESCP BS Law and Finance Conference, Goethe Uni., Frankfurt, June 1-2, 2023
 Notre Dame Law and Economics Workshop, Notre Dame Law School, February 13, 2023
 London Law and Finance Symposium, University College London and University of Notre
 Dame, September 9, 2022.
 Ninth Annual Corporate and Securities Litigation Workshop, University of Illinois,
 September 23, 2022
 Chicagoland Junior Scholars Conference, Northern Illinois University, September 30, 2022
 Annual Meeting of the Midwestern Law and Economics Association, October 14, 2022
 National Business Law Scholars Conference, University of Oklahoma, June 15-16, 2022.

Shining a Light on Shadow Banks

BYU Winter Deals Conference, March 9, 2022
 Notre Dame Law School Faculty Workshop, March 29, 2022
 The Wharton School, University of Penn. Financial Regulation Conference, April 1, 2022

Does an IPO Issuer's SEC Registration Fee Calculation Method Predict Pricing Revisions and IPO Underpricing?

Conference on Empirical Legal Studies, University of Virginia, March 18, 2022.

SCHOLARLY PRESENTATIONS (cont.)*Does an IPO Issuer's SEC Registration Fee Calculation Method Predict Pricing Revisions and IPO Underpricing? (cont.)*

Annual Meeting of the American Law and Economics Association, Oct. 23, 2021
 London Law and Finance Seminar, University College London and London School of Economics, November 16, 2021
 Canadian Economics Association, Simon Fraser University, June 5, 2021.
 Notre Dame, Law and Economics Workshop, May 7, 2021
 New York University School of Law, Law and Economics Workshop, November 11, 2020
 Notre Dame Law School Junior Faculty Workshop

Footloose with Green Shoes? Can Underwriters Profit from IPO Underpricing?

Northern Illinois University 2020 Chicagoland Conference, October 2, 2020
 Washington University School of Law, Advanced Securities Regulation (taught by Professor Andrew Tuch), September 30, 2020.
 Corporate Law Academic Webinar Series (CLAWs), September 4, 2020
 BYU Winter Deals Conference, March 5, 2020
 National Business Law Scholars Conference, June 21, 2020
 Notre Dame Law School junior faculty workshop

The Seller's Curse and the Underwriter's Pricing Pivot: A Behavioral Theory of IPO Pricing

National Business Law Scholars Conference, University of Georgia, June 21, 2018
 New York University Leonard N. Stern School of Business, Corporate Governance Luncheon, May 1, 2018
 New York University School of Law, Law and Economics Workshop, February 21, 2018

The Genesis of Independent Agencies

Conference on Empirical Legal Studies, Duke Law School, November 18, 2011
 Annual Meeting of the Canadian Law and Economics Association, Faculty of Law, University of Toronto, September 2016

ONLINE PUBLICATIONS

- The Bank and Private Capital Shadow Venture, Briefing Book, (Feb. 2, 2026).
- Safekeeping Too-Big-To-Fail Banks from Private Credit, Oxford Business Law Blog (Jan. 16, 2026).
- "ES" Versus "G" in Corporate Governance: You Can't Have It All, HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE (Apr. 23, 2024).
- Greenshoe Options and IPO Underpricing: Regulation M Only Applies to the Portion of the Offering Distributed in the United States, HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE (Jan. 19, 2021).
- Footloose with Green Shoes: Can Underwriters Profit from IPO Pricing?, HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE (Jan. 19, 2021).
- The Seller's Curse and IPO Pricing, THE CLS BLUE SKY BLOG (Oct. 9, 2019).

SELECT MEDIA QUOTATIONS AND FEATURES

- *SpaceX's Bumpy Ride on the Stock Market May Get Bumpier*, THE NEW YORK TIMES (Aug. 3, 2026).
- *SpaceX.com?*, NYTIMES DEALBOOK (June 8, 2026).
- *Private Lending Risks Reach Banks, Transparency Lags*, THE CHOSUN DAILY (Apr. 7, 2026).
- *Data: What Is Reality of Banks' Exposure to a Private Credit Meltdown*, THE BANKER (Apr. 1, 2026).
- *Tangled Web of Loans Brought Down a British Property Empire*, BLOOMBERG (Mar. 26, 2026).
- *The Blowup that Exposed How America's Banks Are Entangled in Private Credit*, WALL ST. J. (Mar. 16, 2026).
- *CEO of Collapsed Lender MFS Spent on Artwork, Parties, Rapper*, BLOOMBERG (Mar. 5, 2026).
- *Underwriters Push Back on Union Pension IPO Lawsuit*, FUNDFIRE (Feb. 5, 2025), <https://www.fundfire.com/lead/enroll/4754234/637654>.
- *Why It Could Be Tough for the SEC to Ban Payment for Order Flow*, YAHOO! FINANCE (Oct. 26, 2021), available at <https://finance.yahoo.com/news/sec-chair-banning-payment-for-order-flow-is-on-the-table-140624328.html>.
- *Robinhood Faces 'Looming Regulatory Risk' As It Gears Up for Its IPO*, YAHOO! FINANCE (July 21, 2021), available at <https://finance.yahoo.com/news/robinhood-faces-a-looming-regulatory-risk-as-it-gears-up-for-its-ipo-161007770.html>.
- *Investors Feel the Toe End of Deliveroo's Greenshoe Shuffle*, FINANCIAL TIMES (Apr. 7, 2021), available at <https://www.ft.com/content/d4220e2a-153b-489e-abf4-78181e8c123b>.
- *Yellen Meets with Regulators over GameStop Volatility, Vows to Protect Investors*, MARKETWATCH (Feb. 4, 2021 6:15 PM), available at <https://www.marketwatch.com/story/yellen-meets-with-regulators-over-gamestop-volatility-vows-to-protect-investors-11612480534>.
- *Money Stuff: Green Shoes Look Funny*, BLOOMBERG (Jan. 20, 2021, 12:26 PM, EST), available at <https://www.bloomberg.com/opinion/articles/2021-01-20/green-shoes-look-funny>.
- *Airbnb, Doordash Rallies Stoke Debate on Pricing IPOs*, BLOOMBERG (Dec. 9, 2020, 8:02pm EST), available at <https://www.bloomberg.com/news/articles/2020-12-10/doordash-pop-stokes-renewed-debate-on-ipo-pricing-to-perfection>.
- *Airbnb Prices Shares at \$68 Ahead of Thursday IPO*, AP NEWS (Dec. 9, 2020), available at <https://apnews.com/article/coronavirus-pandemic-ff3ee811dca9806b7d23f0391b1034d0>.
- *Money Stuff: Warren Buffet Made a Phone Call*, BLOOMBERG (Oct. 10, 2019, 11:59 AM EDT), available at <https://www.bloomberg.com/opinion/articles/2019-10-10/warren-buffett-made-a-phone-call>.

BAR ADMISSION

State of New York, admitted February 2016