

VERONICA ROOT MARTINEZ

NOTRE DAME LAW SCHOOL • NOTRE DAME, IN 46556 • (574) 631-4766 (OFFICE) • VROOTMARTINEZ@ND.EDU

EDUCATION

The University of Chicago Law School, Chicago, IL

Juris Doctor, June 2008

Managing Editor, *Chicago Journal of International Law*; Hinton Moot Court Board; Thomas R. Mulroy Prize for Excellence in Appellate Advocacy and Oral Argument; Research Assistant, Professor Emily Buss

Georgetown University, McDonough School of Business, Washington, D.C.

Bachelor of Science in Business Administration, May 2005, *cum laude*

John Carroll Scholar; Patrick Healy Fellow

ACADEMIC APPOINTMENTS

Notre Dame Law School, Notre Dame, IN

Professor of Law & Director of Program on Ethics, Compliance, & Inclusion

Associate Professor of Law

Visiting Assistant Professor of Law

July 2019 – present

July 2014 – June 2019

July 2012 – June 2014

- Robert & Marion Short Scholar (July 2019 – present)
- NDLead, Notre Dame's Leadership Training Program (2019-2020)
- Research issues related to corporate compliance, with a particular focus on issues at the intersection of corporate governance, professional ethics, workplace law, and corporate social responsibility
- Courses Taught: Corporate Compliance & Ethics Seminar; Global Compliance Survey (London Campus-Spring 2019); Professional Responsibility; Contracts
- Conferences Organized: Equity Roundtable (Oct. 2019); [Investigating Intersections of Corporate Governance & Compliance](#) (Apr. 2019); Governance Roundtable (Sept. 2018); The Sexual Harassment Project (May 2018); Compliance & Organizational Change Workshop (Apr. 2018)
- 2018 Recipient of an Internal Grant for Project on “Reclaiming the dignity of work through varied methods of assessment” ([online link](#))
- Recipient of the 2019, 2017, and 2015 Charles F. Crutchfield Professorial Award (presented by the Notre Dame Black Law Students Association)

BOOK

- CARETAKING: A THEORY OF ORGANIZATIONAL REFORM (book manuscript in progress).

JOURNAL PUBLICATIONS

- *Sidestepping Judicial Ethics*, 115 NORTHWESTERN LAW REVIEW __ (2020) (*forthcoming*) (invited symposium contribution).
- *A Theory of Cooperative Enforcement*, _ LAW & CONTEMPORARY PROBLEMS __ (2020) (*forthcoming*).
- *Complex Compliance Investigations*, 120 COLUMBIA LAW REVIEW 249-307 (2020) ([SSRN link](#)).
 - Selected for presentation at the 2019 AALS New Voices in Business Law session.
- *More Meaningful Ethics*, UNIVERSITY OF CHICAGO LAW REVIEW ONLINE (January 7, 2020) ([online symposium](#)) ([SSRN link](#)).
- *Investigating Intersections of Corporate Governance & Compliance*, UNIVERSITY OF CHICAGO LAW REVIEW ONLINE (January 7, 2020) (introduction to [online symposium](#)) ([SSRN link](#)).
- *Combating Silence in the Profession*, 105 VIRGINIA LAW REVIEW 806-863 (2019) ([SSRN link](#)).
 - Featured in the Legal Profession section in Jotwell: The Journal of Things We Like (Lots) on May 20, 2020 ([JOTWELL link](#)).
 - Selected via blind review for Vanderbilt Law School's 2017 New Voices in Civil Justice Workshop.
 - Selected for AALS Professional Responsibility 2018 Works in Progress Workshop.

JOURNAL PUBLICATIONS CONT'D.

- *The Compliance Process*, 94 INDIANA LAW JOURNAL 203-251 (2019) ([SSRN link](#)).
 - Featured on the Business Scholarship Podcast (Oct. 24, 2019) ([link](#)).
- *The Outsized Influence of the FCPA?*, 2019 ILLINOIS LAW REVIEW 1205 -1225 (2019) ([SSRN link](#)) (invited symposium contribution).
- *Coordinating Compliance Incentives*, 102 CORNELL LAW REVIEW 1003-1085 (2017) ([SSRN link](#)).
- *Constraining Monitors*, 85 FORDHAM LAW REVIEW 2227-2247 (2017) ([SSRN link](#)) (invited symposium contribution).
- *Modern-Day Monitorships*, 33 YALE JOURNAL ON REGULATION 109-164 (2016) ([SSRN link](#)).
 - Featured in the Corporate Law section in Jotwell: The Journal of Things We Like (Lots) on June 24, 2016 ([JOTWELL link](#)).
- *The Monitor-“Client” Relationship*, 100 VIRGINIA LAW REVIEW 523-585 (2014) ([SSRN link](#)).
 - One of sixteen articles selected for reprint in the 2014-2015 CORPORATE PRACTICE COMMENTATOR.
 - Cited in *Tokar v. U.S. Dep’t of Justice*, No. 16–2410, 2018 WL 1542320 (Mar. 29, 2018) (mem.).
- *Retaining Color*, 47 UNIVERSITY OF MICHIGAN JOURNAL OF LAW REFORM 575-643 (2014) ([SSRN link](#)).
- *Somebody’s Watching Me: FCPA Monitorships and How They Can Work Better*, 13 UNIVERSITY OF PENNSYLVANIA JOURNAL OF BUSINESS LAW 321-381 (2011) (with F. Joseph Warin & Michael S. Diamant) ([SSRN link](#)).
- *Angelina and Madonna – Why all the Fuss? An Exploration of the Rights of the Child and Intercountry Adoption within African Nations*, 8 CHICAGO JOURNAL OF INTERNATIONAL LAW 323-354 (Summer 2007) (development).

BOOK CHAPTERS

- *Third Party and Appointed Monitorships* in CAMBRIDGE HANDBOOK OF COMPLIANCE (B. van Rooij & D. Sokol eds., Cambridge University Press) (2020) (forthcoming) ([SSRN link](#)).
- *Transnational Anti-Bribery Law* in CAMBRIDGE HANDBOOK OF COMPLIANCE (B. van Rooij & D. Sokol eds., Cambridge University Press) (2020) (forthcoming) (with Kevin E. Davis) ([SSRN link](#)).

WORKS IN PROGRESS

- *Perfect Compliance*
- *Monitors as Informers*
- *Gradations in Sexual Harassment* (with Charlice Hurst, Kirsten Cornelson, & Ann Tenbrunsel)

ADDITIONAL PUBLICATIONS

- *More Meaningful Ethics*, HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE AND FINANCIAL REGULATION (Dec. 10, 2019) ([online link](#)).
- *Improving Assessments of Compliance Failures*, COMPLIANCE & ETHICS PROFESSIONAL MAGAZINE, 26-31 (July 2019).
- *Teaching Compliance I, II, & III*, THE COMPLIANCE & ENFORCEMENT BLOG (Apr./May, 2019) (online links for [Part I](#), [Part II](#), & [Part III](#)).

ADDITIONAL PUBLICATIONS CONT'D.

- *Complex Compliance Investigations*, HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE AND FINANCIAL REGULATION (Apr. 29, 2019) ([online link](#)).
- *Assessing Why Compliance Programs Fail*, THE CLS BLUE SKY BLOG (Mar. 26, 2019) ([online link](#)).
- *Will This One Stick?*, THE COMPLIANCE & ENFORCEMENT BLOG (Apr. 23, 2018) ([online link](#)).
- *Repeat Corporate Misconduct*, THE COMPLIANCE & ENFORCEMENT BLOG (Apr. 20, 2018) ([online link](#)).
- *Coordinating Compliance Incentives*, HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE AND FINANCIAL REGULATION (Oct. 27, 2017) ([online link](#)).
- *Corporate Monitors Need Better Regulation*, THE CLS BLUE SKY BLOG (Oct. 19, 2017) ([online link](#)).
- *What Does it Mean to be a Monitor?*, THE COMPLIANCE & ENFORCEMENT BLOG (Sept. 21, 2016) ([online link](#)).
- *Greater Transparency in Monitor Reports: An Unintentional Result?*, THE COMPLIANCE & ENFORCEMENT BLOG (June 15, 2016) ([online link](#)).
- ABA SECTION OF CRIMINAL JUSTICE, PRACTICING UNDER THE FEDERAL SENTENCING GUIDELINES, *Chapter Seven: Determining the Sentence* (5th ed. 2010) (6th ed. 2011) (chapter in editions 1-4 prepared by others).
- FROM FOSTER CARE TO ADULTHOOD: THE UNIVERSITY OF CHICAGO LAW SCHOOL FOSTER CARE PROJECT'S PROTOCOL FOR REFORM (2008) (with Emily Buss, Whitney A. Cox, Sarah E. Crane, Marlo M. Del Percio, Andrea C. Forton, Kathleen Hill, Anne W. King, Allison A. Lee, Alison R. Leff, Mary C. Lovejoy, Gwendolyn Baxter Morales, Heidi E. Mueller) ([online link](#)).

SELECTED ACADEMIC PRESENTATIONS*Unwilling Enforcers*

- LAW & CONTEMPORARY PROBLEMS Symposium, Duke Law School (Nov. 2019).

Gradations in Sexual Harassment (with Charlice Hurst, Kirsten Cornelson, & Ann Tenbrunsel)

- Equity Roundtable, Notre Dame Law School (Oct. 2019) (organizer).
- Sexual Harassment Law – So Much Success, So Much Failure, Southeastern Association of Law Schools Conference (Aug. 2018).

Perfect Compliance

- Rethinking the Shareholder Franchise, University of Wisconsin Law School (Sept. 2019).
- Culp Colloquium, Duke University School of Law (May 2019).
- National Business Law Scholars Conference, University of Georgia School of Law (June 2018).

Monitors as Informers (and other titles)

- Junior Faculty Workshop, Notre Dame Law School (June 2016).
- National Business Law Scholars Conference, sponsored by University of Chicago Law School (June 2016).
- Culp Colloquium, Duke University School of Law (May 2016).
- 9th Lutie A. Lytle Black Women Law Faculty Writing Workshop, sponsored by Vanderbilt Law School (July 2015).
- Legal Ethics Schmooze, sponsored by Stanford Law School (June 2015) (by invitation).

More Meaningful Ethics

- Investigating the Intersection of Corporate Governance & Compliance, Notre Dame Law School (Apr. 2019) (organizer).

SELECTED ACADEMIC PRESENTATIONS CONT'D.*Complex Compliance Investigations* (and other titles)

- Cornell Faculty Legal Research Workshop, Cornell Law School (Oct. 2019).
- Research Seminar Series, University of Notre Dame in London (Feb. 2019).
- Works-in-Progress Session, 2019 AALS New Voices in Business Law (Jan. 2019) (by selection).
- Governance Roundtable, Notre Dame Law School (Sept. 2018) (organizer).
- Corporate Governance Discussion Group, 2016 Southeastern Association of Law Schools Conference (Aug. 2018).
- 2018 Lutie A. Lytle Black Women Law Faculty Writing Workshop, SMU Dedman School of Law (July 2018).
- National Business Law Scholars Conference, University of Georgia School of Law (June 2018).
- Compliance & Organizational Change Workshop, Notre Dame Law School (Apr. 2018) (organizer).
- Perspectives on the Future of White-Collar Crime, 2016 Southeastern Association of Law Schools Conference (Aug. 2016) (by invitation).
- Junior Faculty Workshop, Notre Dame Law School (June 2015).

CARETAKING: A THEORY OF ORGANIZATIONAL REFORM (and other titles)

- Culp Colloquium, Stanford Law School (June 2018).
- Junior Faculty Workshop, Notre Dame Law School (Aug. 2018).

INVITED PRESENTATIONS & COMMENTARY (RECENT)*What's in a Name?* (August 2019).

- Keynote speaker for teamND Identity, Intersection, & Inclusion retreat.

Issues Facing the U.S. Catholic Church and the Response of the Academy (May 2019).

- Invited panelist discussing the sexual abuse crisis within the Catholic Church and the responsibilities and limitations of the academy in engaging with and addressing these issues, sponsored by the 2019 Sorin Seminar for Faculty at the University of Notre Dame.

Recognizing and Responding to "Gender Sidelining" (July 2018).

- Invited panelist discussing how to recognize and respond to gender sidelining within academia, sponsored by the 2018 Lutie A. Lytle Black Women Law Faculty Writing Workshop.

A Wild Decade in Finance & Business (June 2018).

- Invited to present as part of a featured panel discussing challenges within business and finance from 2008 – 2018, sponsored by the National Business Law Scholars Conference.

Creating Joy in Academic Life (June 2018).

- Selected to present at the Women in Legal Education ("WILE") breakfast during the AALS New Teachers' Workshop (June 2018), sponsored by WILE.

Diverse Careers in the Law: Legal Academia (Apr. 2018).

- Invited panelist discussing how to pursue a career in legal academia, sponsored by the Office of the Dean of Students at The University of Chicago Law School.

What is the Role of a Regulation if it is Not Enforced (Feb. 2018).

- Invited "anchor" speaker for Second Annual Symposium for the *Loyola University Chicago Journal of Regulatory Compliance*, sponsored by Loyola University Chicago School of Law's Center for Compliance Studies.

INVITED PRESENTATIONS & COMMENTARY CONT'D. (RECENT)

The Government's Treatment of Corporate Recidivism (Jan. 2018).

- Presented research on corporate recidivism to approximately forty-five members of Wells Fargo with an interest in anti-bribery and corruption governance, sponsored by Wells Fargo ABC Forum.

SERVICE, PROFESSIONAL, & COMMUNITY INVOLVEMENT**LAW SCHOOL COMMITTEES**

Appointments Committee, 2019 – 2020; Colloquium Committee, 2018 – 2019; 2017 – 2018, 2016 – 2017, 2014 – 2015; Ad-Hoc California Committee, 2017 – 2018; Clerkship Committee, 2016 – 2017, 2014 – 2015; Shaffer Fellows Committee, 2015 – 2016.

UNIVERSITY COMMITTEES

Provost Search Committee, 2019 – 2020; Task Force on Research & Scholarship in Response to the Sexual Abuse Crisis in the Catholic Church, 2018 – 2019; University Committee on Women Faculty and Students, Fall 2017.

REFEREE ACTIVITY

Northwestern University Law Review, Peer Review Board Member (2017 – present); *Law & Social Inquiry*, Reviewer (2018 – 2019).

PROFESSIONAL MEMBERSHIPS

Executive Committee (2018 – present), AALS Section on Professional Responsibility; AALS Section on Minority Groups; American Bar Association; ABA Center for Professional Responsibility.

CHARITABLE ACTIVITY

Local Committee Chair (2017 – present) & Interviewer, Alumni Admissions Program, Georgetown University, 2005 – present; Advisory Board Member, Northern Indiana Chapter, American Red Cross, 2015 – 2016; Board Member, Patrick Healy Fellow Alumni Board, Georgetown University, 2010 – 2012; Board Member, African-American Advisory Alumni Board, Georgetown University, 2008 – 2012; Active Member, Alpha Kappa Alpha Sorority, Inc., 2003 – present.

PROFESSIONAL LEGAL EXPERIENCE**Gibson Dunn**, Washington, D.C.

Associate Attorney

2009 – 2012

Represented clients in regulatory, white collar, and appellate matters; drafted letter briefs and obtained asylum for two pro bono clients.

U.S. Court of Appeals for the Fifth Circuit, Shreveport, LA

Judicial Clerk to Judge Carl E. Stewart

2008 – 2009

BAR ADMISSIONS

District of Columbia (active), Illinois (inactive), U.S. Court of Appeals for the Fifth Circuit, and the U.S. District Court for the District of Columbia.